### INACTIVATION OF NF-KB INHIBITOR IKBA: UBIQUITIN-DEPENDENT PROTEOLYSIS AND ITS DEGRADATION PRODUCT

Exhibit C

EST AVAILABLE COPY

Chou-Chi H. Li', Ren-Ming Dai and Dan L. Longo'

Biological Carcinogenesis and Development Program, SAIC-Frederick, Biological Response Modifiers Program, Division of Cancer Treatment, National Cancer Institute-Frederick Cancer Research and Development Center, Frederick, MD 21702-1201

Received August 29, 1995

SUMMARY: In most cells, the inactive dimeric NF-xB complexes are retained in the cytoplasm by binding to a group of inhibitory proteins, IxB. In response to extracellular stimuli, IxB is rapidly phosphorylated and degraded, thus, liberating the active NF-xB. To investigate the mechanisms involved, we have developed a cell-free system to study the degradation of the prototype IxB protein, IxBa. In this in vitro assay, ubiquitin, proteasomecontaining \$100 fraction and ATP are required for the proteolysis of IxBa. Both bound and free forms of  $I_K B \alpha$  isolated from intact cells can be degraded through this pathway. We also identified polyubiquitinated  $I\kappa B\alpha$  molecules and N-terminal truncated  $I\kappa B\alpha$  degradation product(s) both in vivo and in vitro. We conclude that the inactivation of IxBa occurs through a series of processes including phosphorylation, ATP-dependent ubiquitin conjugation and proteasome-mediated proteolysis. a 1995 Academic trease, inc.

INTRODUCTION: Nuclear factor xB plays a central role in the regulation of immune, inflammatory, and adhesion responses, and activation of several viruses, including human immunodeficiency virus (reviewed in ref. 1-6). The active NF-kB factor is a homo-orhetero-dimer consisting of members of the Rel/NF-kB family proteins. The family includes NFKB1(p105/p50), NFKB2 (p100/p52), RelA (p65), c-Rel, RelB, and Drosophila dorsal and dif proteins. These proteins share structural and functional similarities in their N-terminal 300 amino acids, termed Rel homology domains, which are essential for DNA-binding, nuclear localization and dimerization functions. Unlike many other transcription factors that are localized in the nucleus, the NF-xB dimeric factor is sequestered in the cytoplasm of most cells through binding to a group of inhibitor proteins (IxB), including the prototype IκBα. IκB proteins share homologies in their C-terminal domains which contain several ankyrin repeats, thought to be involved in protein-protein interactions. When cells are activated by a variety of stimuli, e.g. virus, bacteria, radiation, oxidants and stress,  $I\kappa B\alpha$  is phosphorylated and quickly degraded (7-14). The dimer is released and moves to the nucleus, where it binds to the decameric xB binding site, and regulates the transcription of

corresponding author. Fax:(301) 846-6107.



the target gene. Recently, Palombella et al. (9) showed that the processing from the inactive p105/p65, in which p105 is the precursor of p50 and behaves as an inhibitor to the active p50/p65 dimer, occurs through a ubiquitin-proteasome (Ub-Pr) pathway. For the prototypical complex p50/p65/IxB\alpha, although it has been shown that IxB\alpha degradation is sensitive to proteasome inhibitors (9, 10), the molecular mechanism has not been well elucidated.

#### MATERIALS AND METHODS

Immunoprecipitation and Western Blot (Immunoblot) Analyses: Human Jurkat T cells and CA46 B cells (15) were maintained in RPMI 1640 medium supplemented with 10% fetal calf serum, 50 units/ml penicillin and 50  $\mu$ g/ml streptomycin. Jurkat cells were stimulated with PMA (100 ng/ml) and PHA (5 µg/ml) for 20 min, lysed and subjected to Western blot analyses (16-18). CA46 cells were metabolically labeled with [35]-methionine/cysteine for 3 h and lysed, the lysates were immunoprecipitated (16-18). Two IκBα antisera (A and B) (17) were raised against independently synthesized C-terminal peptides (residues 300-317) (19). Serum B was used in Fig. 1A, and the antiserum was affinity-purified using the ProtOn kit (Multiple Peptide System). Ub antiserum was purchased from Sigma. All lysis buffers contained protease inhibitor cocktail including 1% aprotinin, 40 µg/ml Tos-Phe-CH<sub>2</sub>Cl (TPCK), 5 μg/ml Tos-Lys-CH<sub>2</sub>Cl (TLCK), 70 μg/ml phenylmethylsulfonyl fluoride (PMSF). 5 μg/ml Leupeptin and 0.01 u/ml Ca++-induced protease inhibitors (Sigma). In vitro degradation assay: The substrate IxBa was in vitro transcribed and translated in a reticulocyte lysate system (Promega) with [35S]-cysteine from a Bluescript-IkBa expression plasmid (20). Equal amounts (2-5 µl) of translated IxBa were incubated at 37°C, with 30-50  $\mu g$  of S100 fraction (9, 21) extracted from CA46 cells as the enzyme source, and 6  $\mu g$  of dialyzed yeast or bovine Ub (Sigma). Each reaction was carried out in a total volume of 50 μl containing 12 mM Tris-HCl, pH7.5, 60 mM KCl, 3.5 mM MgCl<sub>2</sub>, 5 mM CaCl<sub>2</sub>, 1mM DTT, and 1mM ATP when desired. Master reaction mixture without S100 was prepared and aliquoted into different tubes on ice. At different time points, \$100 was added to individual tubes to start the reaction at 37°C. All the reactions were simultaneously terminated by boiling the samples in the gel loading buffer. The reactions were analyzed by SDSpolyacrylamide gel electrophoresis (PAGE) followed by Western transfer and autoradiography. Untreated rabbit reticulocyte lysate (Promega) and S100 extracted from Hela or a number of human B cell lines were also used for enzyme sources and all gave similar results. [125I] conjugation of Ub was performed as described (22). Proteasome depleted (Pr ) or enriched (Pr \*) fraction was extracted as published (9, 23). All inhibitors were purchased from Sigma or Boehringer Mannheim Corp. GST-IxB\alpha fusion protein: Glutathione-S-transferase (GST)-IxB\alpha expression plasmid was constructed by inserting the EcoR1 fragment of IkBa (20) into pGEX-4T-2 vector (Pharmacia LKB Biotechnology Inc.). The GST-IxBa fusion protein was prepared according to the manufacturer.

RESULTS: We have previously shown that when the total cell lysate extracted from unstimulated Jurkat cells was immunoblotted with IxBα antiserum, several high-molecular-mass proteins were detected at low levels (17). To further characterize these proteins, Western (immuno-) blot analysis was performed on lysates extracted from PMA/PHA-stimulated Jurkat cells. As shown in Fig. 1A, in addition to the 36 kD-IxBα, multiple bands in a ladder-like pattern were detected at an elevated level (left lane). The majority of these proteins were not detected when the analysis was carried out in the presence of competing

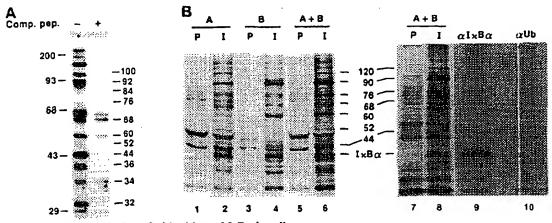


Fig. 1. Detection of ubiquitinated IκBα in cells. (A) PMA/PHA-stimulated Jurkat cells were lysed and subjected to Western blot analysis using affinity purified anti-IxB $\alpha$  immune serum B in the absence (left lane) or presence (right lane) of the competing peptide. Molecular size markers are on the left and the apparent sizes for individual proteins are marked on the right. (B) CA46 cells were metabolically labeled with [35]methionine/cysteine and lysed. The lysates were immunoprecipitated with anti-IxB\alpha immune serum A (lane 2), B (lane 4), mixture of A and B (lanes 6, 8), or the corresponding preimmune sera (lanes 1, 3, 5, 7). For the reprecipitation, washed immune complex (lane 8) was boiled and reprecipitated (25) with anti-IkBa (lane 9) or anti-Ub (lane 10) serum.

peptide, i.e. the antigenic peptide used to generate the antiserum (right lane). Interestingly, the size increment between each of these multiple bands was about 8 kD, the size of the Ub molecule (reviewed in ref. 24), suggesting that these larger species could be polyubiquitinated IxBas. Radio-immunoprecipitation performed on [35S]-metabolically labeled CA46 cells, which express constitutively active NF-xB, also showed a similar result (Fig. 1B, lanes 1-8). Using two anti-IxB sera (A and B), multiple bands were observed, again separated by approximately 8 kD. Moreover, when the IxBα immune complex (lane 3) was boiled and reprecipitated (24) with either IxBα (lane 9) or Ub antiserum (lane 10), a number of bands were recognized by both antisera, strongly suggesting that they represent polyubiquitinated IxBas. Interestingly, 34 and 32 kD proteins were also specifically detected in both immunoblot (Fig. 1A) and immunoprecipitation (Fig. 1B, lanes 4, 6). Since the cells were lysed in the presence of protease inhibitor cocktails that inhibit the Ub-Pr pathway (also see Fig. 2C), these small IxBas are probably degradation products present in the intact cells (also discussed in Fig. 4C).

To elucidate the mechanisms involved in IxBa degradation, we developed an in vitro  $I_{\kappa}B_{\alpha}$  degradation assay, in which  $I_{\kappa}B_{\alpha}$ , as the substrate, and S100, as the enzyme source, were incubated with purified Ub at 37°C (Fig. 2). As [35S]-cysteine labeled, in vitrotranslated  $I_{\kappa}B\alpha$  (lane 1) was used, most of the  $I_{\kappa}B\alpha$  was degraded within a few minutes (Fig.

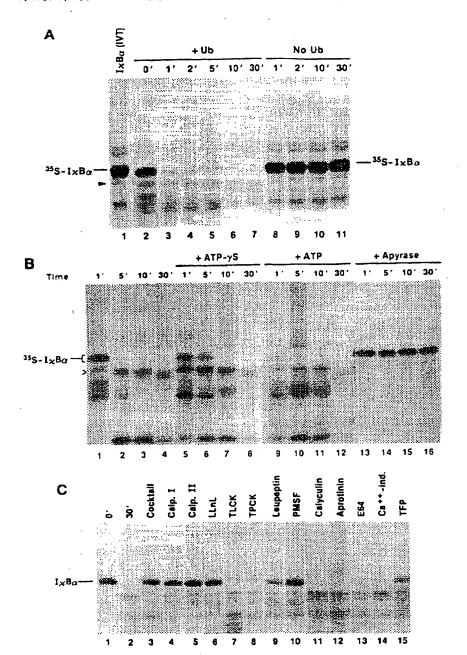


Fig. 2. In vitro assay of  $I\kappa B\alpha$  degradation. [35] cysteine-labeled  $I\kappa B\alpha$  (lane 1) was assayed for degradation with (lanes 2-7) or without Ub (lanes 8-11) for various periods of time. The filled arrowhead marks the 34 kD  $I\kappa B\alpha$ . (B) ATP dependence of  $I\kappa B\alpha$  degradation. Reactions were carried out with Ub in the presence of ATP- $\gamma S$  (2 mM), or ATP (1 mM), or apyrase (10 u/reaction) for indicated periods of time. Arrowhead marks the 34 kD degradation product. (C) Inhibitor study of  $I\kappa B\alpha$  degradation. 35S-labeled  $I\kappa B\alpha$  was used in 30 min degradation assay in the absence (lanes 1, 2) or presence of various inhibitors (lanes 3-15). All reactions were heated, and analyzed by SDS-PAGE followed by Western transfer and autoradiography.



2A, lanes 2-7). When the same reactions were performed without Ub, the level of  $I\kappa B\alpha$  was essentially unchanged (lanes 8-11), demonstrating that Ub is a critical requirement for  $I\kappa B\alpha$  degradation. No degradation was detected when the assay was performed without S100 (data not shown), indicating that  $I\kappa B\alpha$  degradation was not due to nonspecific protease activities present in the Ub preparation.

Since the Ub-Proteasome pathway requires ATP for energy, we further carried out the assay with the addition of ATP- $\gamma$ S, ATP, or Apyrase (Fig. 2B). It is clear that addition of ATP accelerated the degradation of IkB $\alpha$  (compare lanes 9-12 with 1-4), whereas addition of ATP- $\gamma$ S, an unhydrolyzable ATP analog, decreased the rate of degradation (compare lanes 5-8 with 1-4). Apyrase, which destroys ATP, completely blocked the degradation (lanes 13-16). These data clearly demonstrate that an ATP-dependent Ub-Pr pathway is involved in IkB $\alpha$  degradation.

In order to further identify the components of this process, degradation assays were carried out in the presence of various inhibitors (partially shown in Fig. 2C). The reagents that blocked the IkB $\alpha$  degradation include the proteasome inhibitors peptide aldehyde Ac-LLnL-CHO (100  $\mu$ M) (Sigma), calpain inhibitors I (100  $\mu$ M) and II (400  $\mu$ M) (Boehringer Mannheim Corp.), and serine/cysteine protease inhibitors, TPCK (100  $\mu$ M), TLCK (300  $\mu$ M), leupeptin (100  $\mu$ M), and PMSF (200  $\mu$ M). Although TPCK and TLCK partially inhibit the reaction and are not considered proteasome-specific inhibitors, they probably interfere with the phosphorylation required for the proteolysis (11-13). Interestingly, trifluoperazine (TFP) (26), a calmodulin antagonist, inhibited IkB $\alpha$  degradation (lane 15).

In addition to the free in vitro translated  $I_RB\alpha$  (as shown in Fig. 2),  $I_RB\alpha$  associated with NF-xB is also degraded through the in vitro Ub-Pr pathway (Fig. 3A). B cells were metabolically labeled with [35]-methionine/cysteine, and the cell lysates were immunoprecipitated with anti-NF-xB to isolate the associated IxBa, or with anti-IxBa to isolate the free and the bound  $I_RB\alpha$  molecules. When both bound  $I_RB\alpha$  (lane 3) and total  $I_RB\alpha$  (lane 5) isolated from cells were subjected to in vitro degradation assays (lanes 6-9), both were degraded within minutes (lanes 7, 9). As has been previously reported (9), we also observed that p105 was rapidly processed (compare lanes 3 and 7). A similar assay carried out with a reduced amount of \$100 (Fig. 3B), which attenuated the Ub-Pr pathway, showed the appearance of IkBa with slightly less gel mobility (lane 3). The slower gel mobility is probably a result of differential phosphorylation (17), because both forms of IxBa were reactive to the IκBα antiserum (data not shown). This result suggests that phosphorylation of IκBa precedes the Ub-Pr pathway. Interestingly, a 90 kD cellular protein has been reproducibly detected in IκBα immune complexes (ref. 17 and Figs. 1B, 3A, 3B). This protein appears to be relatively resistant to the Ub-Pr proteolysis (Fig. 3A, lane 9). We are currently identifying this protein.

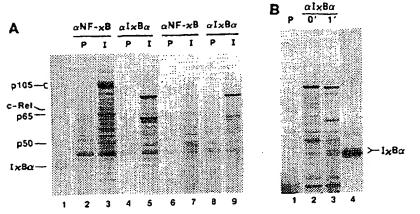


Fig. 3. Degradation of both free and bound forms of IxBα isolated from cells.

(A) [35]methionine/cysteine labeled CA46 cell lysates were immunoprecipitated with anti-NF-xB preimmune (lane 2) and immune (lane 3) sera, or anti-IxBα preimmune (lane 4) and immune (lane 5) sera. Half of each immune complex was subjected to the degradation assay for 5 min (lanes 6-9). [35]-labeled in vitro translated IxBα is shown in lane 1. NF-xB antiserum is a mixture of antisera against p105N, p65, and c-Rel (16-18). IxBα antiserum is the combined sera A and B described in Fig. 1(B). (B) [35]methionine/cysteine labeled CA46 cell lysates were immunoprecipitated with anti-IxBα preimmune (lane 1) and immune (lanes 2, 3) sera. The washed complexes were subjected to an attenuated degradation assay for 1, 0, and 1 min in lane 1, 2, and 3, respectively. The attenuated assay was carried out using 40% of the amount of \$100 as in the normal assay. The in vitro translated [35]-labeled IxBα is shown in lane 4.

The larger forms of  $I_KB\alpha$  observed as smears in Fig. 2B, lanes 7 and 10 in the *in vitro* assays probably represent ubiquitinated  $I_KB\alpha$  molecules. To identify these proteins, we incubated [35S]-labeled  $I_KB\alpha$  and [125I]-labeled Ub with proteasome depleted S100 (Pr ) in the presence of ATP- $\gamma$ S (Fig. 4A, lanes 1-3). Both Pr and ATP- $\gamma$ S were used to slow the ubiquitination process and block the proteolysis. Increasing amounts of 125I were incorporated in a ladder-like pattern into proteins larger than  $I_KB\alpha$ , representing the ubiquitinated  $I_KB\alpha$  molecules (Fig. 4A, lower panel, lanes 1-3). This 125I incorporation required Ub (compare lanes 1-3 with 4-6) and did not result from ubiquitination of the background proteins present in the Pr fraction, because the same assay performed without  $I_KB\alpha$  yielded no 125I incorporation (lanes 7-9). The ubiquitination of  $I_KB\alpha$  was further demonstrated in a Ub-Pr reaction performed on the GST- $I_KB\alpha$  fusion protein (Fig. 4B). The high-molecular-mass, polyubiquitinated  $I_KB\alpha$  proteins were detected in a time-dependent manner.

Although it has been well established that activation of NF- $\kappa$ B requires  $I\kappa B\alpha$  degradation, no degradation products have been identified. The detection of 34 kD and 32 kD  $I\kappa B\alpha$  molecules in intact cells (Fig. 1) suggests that they may be products or intermediates produced in the degradation process. In the *in vitro* assays, a smaller form (34 kD) of  $I\kappa B\alpha$  accumulated during the reaction (see Fig. 2, arrow heads). To further

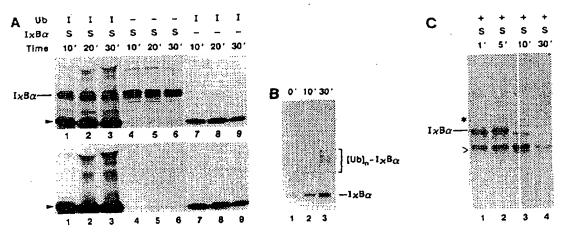


Fig. 4. Identification of ubiquitinated I<sub>κ</sub>Bα and degradation product in vitro.

(A) In vitro assays were carried out as indicated on the top of the panel (+: unlabeled; -: absence: 1: <sup>123</sup>I; S: <sup>35</sup>S). Reactions were carried out and analyzed as described in Fig. 2 except that Pr fraction, instead of S100, was used as the enzyme source. Two X-ray films were used in autoradiography. The film right on top of the filter showed both <sup>125</sup>I and <sup>135</sup>S activities (top panel), and the second film showed only the <sup>115</sup>I activity (bottom panel). <sup>125</sup>I activity specific to unincorporated Ub is marked as a filled triangle. (B) Glutathione-Sepharose beads containing GST-I<sub>κ</sub>Bα fusion protein was subjected to Ub-Pr reaction for 0 (lane 1), 10 (lane 2) or 30 (lane 3) min. The washed beads were analyzed by SDS-PAGE. Western transfer and immunoblotted with anti-I<sub>κ</sub>Bα immune serum. (C) Reactions were carried out as described in (A) and immunoprecipitated with anti-I<sub>κ</sub>Bα (serum A+B) in the presence of protease inhibitor cocktail. The star and the arrowhead mark the 44 kD ubiquitinated I<sub>κ</sub>Bα (lane 2) and the 34 kD degradation product, respectively.

characterize these proteins, in vitro reactions were immunoprecipitated with anti-I $\kappa$ B $\alpha$  (Fig. 4C). While a larger form of I $\kappa$ B $\alpha$ , ubiquitinated I $\kappa$ B $\alpha$ , was detected in 5 min (lane 2), 34 kD I $\kappa$ B $\alpha$  appeared to accumulate then degrade during the assay (lanes 3, 4). This 34 kD I $\kappa$ B $\alpha$  was detected by the antiserum raised against the C-terminus of I $\kappa$ B $\alpha$ , both in vivo (Fig. 1) and in vitro (Fig. 4C), but not by the antiserum recognizing the N-terminus of I $\kappa$ B $\alpha$  (data not shown). This result suggests that the 34 kD-I $\kappa$ B $\alpha$  lacks the N-terminal portion which is probably the initial target for degradation.

#### **DISCUSSION**

We have previously (17) shown that NF-κB/Rel family members and IκBα, which is constitutively phosphorylated, are physically associated phosphoproteins containing phosphorylated serine and threonine residues. Recently, we (18) and others demonstrated that, in addition to IκBα, phosphorylation of NF-κB family proteins, such as p50 (18), p65 (27), c-Rel (28) and dorsal (29), also plays a critical role in NF-κB activation. Moreover, after stimulation most of the hyperphosphorylated IκBα still remains in the complex, suggesting that phosphorylation of IκBα is not sufficient to cause dissociation of the inhibitor from the complex (7-14, 17). In our *in vitro* assays, a slightly slower migrating form of

A COMMUNICATIONS

 $I_{\kappa}B_{\alpha}$ , presumably the hyperphosphorylated  $I_{\kappa}B_{\alpha}$  (17), reproducibly appeared shortly after the Ub-Pr pathway started (Fig. 2B, lane 6) and before the ubiquitination took place (lane 7). In vitro assays carried out with a reduced amount of \$100, which attenuated the Ub-Pr pathway, also showed rapid phosphorylation of 36 kD-IxBa (Fig. 3B). These results suggest that phosphorylation precedes the process of ubiquitination and proteolysis. Our previous detection (17) of highly phosphorylated, larger-sized IxBas in a ladder-like pattern, indicative of ubiquitinated IkBas, in intact cells supports this model. While this manuscript was being prepared Chen et al. (30) showed that signal-induced phosphorylation of IkBa precedes ubiquitination and targets it to the Ub-Pr pathway, confirming the model.

In this report, we have demonstrated the Ub requirement for the degradation of  $I\kappa B\alpha$ and identified the ubiquitinated IxBas and the degradation product both in vivo and in vitro. The lack of ready detection of these ubiquitinated IxBa proteins by other researchers may be due to the following: 1. Antiserum directed against the N-terminal  $I\kappa B\alpha$  was used in most experiments. The N-terminus of IkBa has been shown to be phosphorylated (20) upon activation, and has 7 of 8 lysine residues of the  $I_RB\alpha$  molecule (19), presumably the ubiquitination sites. It is probably the major region that is highly modified in response to stimulating signals, and serves as the target for later proteolysis (20, 30, 31 and this study). Therefore, the antiserum raised against the N-terminal synthetic peptide of  $IxB\alpha$  may not have ready access to this highly modified N-terminus of  $I\kappa B\alpha$ . 2. The Ub-Pr pathway takes place so fast that the modified IkBas are degraded in the analysis process unless appropriate protease inhibitors are included. 3. It is more difficult to detect ubiquitinated InBas in cells that are induced to activate NF-kB than in cells that have constitutively active NF-kB, e.g. B cell lines (data not shown). 4. Because of the ubiquitous nature and evolutionary conservation of Ub, it is extremely difficult to raise a good Ub antiserum. Although obtaining the ultimate and unambiguous proof for the ladder-patterned IxBas as the multiple Ub conjugates has been hampered by the lack of such an antiserum (Fig. 1), a similar ladderlike pattern was detected in another report (7). The first three reasons could also partially explain why the N-terminal truncated  $I_RB\alpha$  degradation product was not readily detected. However, a recent report (32) showing the presence of N-terminal truncated p40, the avian homolog of IxBa, in v-rel transformed cells, supports our finding. Our data suggest that the 34 kD (and probably also the 32 kD)  $I\kappa B\alpha(s)$  detected in vivo and in vitro is (are)  $I\kappa B\alpha$ degradation product(s) lacking the N-termini. It has been suggested that a chymotrypsin-like protease is probably involved in the proteolysis of IxBα (8, 10-14, 33). Consistently, cleavages at chymotrypsin sites, residues 20 and 66 of IrBa (33), would produce proteins similar in size to those we have detected. Since the truncated IxBa missing the N-terminal region, which contains the signal responsive sequence, is still capable of inhibiting the DNAbinding activity of p65 (33, 34), it would behave as a dominant negative mutant. These degradation products may further play a role in the negative regulation of NF-xB after the maximal DNA-binding activity is reached.

Taken together, we propose the following model. In response to stimulation, the entire NF- $\kappa$ B complex, e.g. p50/p65/I $\kappa$ B $\alpha$  becomes hyperphosphorylated (18, 27-29). The induced phosphorylation of I $\kappa$ B $\alpha$  does not lead to its immediate dissociation from the complex; rather, it signals for a rapid N-terminal polyubiquitination and subsequent degradation. Both the free and the NF- $\kappa$ B-bound forms of I $\kappa$ B $\alpha$  can be degraded through the proteasome-mediated proteolysis in vitro. At least one of the degradation products is a 34 kD protein that lacks the N-terminal domain. The degradation of I $\kappa$ B $\alpha$  liberates the NF- $\kappa$ B dimer that translocates to the nucleus, where the dimer binds to the cognate  $\kappa$ B site and regulates the transcription of the target gene. It is of interest that trifluoperazine (TFP), a calmodulin antagonist (26), inhibited I $\kappa$ B $\alpha$  degradation (Fig. 2C, lane 15), suggesting that a Ca\*\*/calmodulin dependent enzyme reaction may be critical for the Ub-Pr pathway involved in I $\kappa$ B $\alpha$  degradation. We are currently investigating this aspect. In conclusion, it appears that phosphorylation, ubiquitination and proteolytic degradation of I $\kappa$ B $\alpha$  are both necessary and sufficient for inactivation of I $\kappa$ B $\alpha$ . Whether they are sufficient for activation of NF- $\kappa$ B

ACKNOWLEDGMENTS We thank U. Siebenlist for  $I\kappa B\alpha$  expression plasmid, D. Ferris for anti- $I\kappa B\alpha$  serum A, J. Dobbs for <sup>123</sup>I conjugation, E. Chen for technical assistance, H. F. Kung for suggestions and M. Beckwith for 2reviewing the manuscript. The content of this publication does not necessarily reflect the views or policies of the Department of Health and Human Services, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. government.

remains to be determined because phosphorylation of NF-xB dimers is also essential (18, 27-

#### REFERENCES

29).

- 1. Thanos, D. and Maniatis, T. (1995) Cell 80, 529-532.
- 2. Kopp, E. B., and S. Ghosh. (1995) Adv. in Immunol. 58, 2-27.
- 3. Baeuerle, P. A. and Henkel, T. (1994) Annu. Rev. Immunol. 12, 141-179.
- 4. Siebenlist, U., Franzoso, G. and Brown, K. (1994) Annu. Rev. Cell Biol. 10, 405-455.
- 5. Liou, H.-C., and D. Baltimore. (1993) Curr. Opin. Cell Biol. 5, 477-487.
- 6. Grilli, M., J. J.-S. Chiu, and M. J. Lenardo. (1993) Int. Rev. Cytol. 143, 1-62.
- 7. Mellits, K. H., R. T. Hay, and S. Goodbourn. (1993) Nucleic Acids Res. 21, 5059-5066.
- 8. Miyamoto, S., M. Maki, M. J. Schmitt, M. Hatanaka, and I. Verma. (1994) Proc. Natl. Acad. Sci. U.S.A. 91, 12740-12744.
- 9. Palombella, J. V., O. J. Rando, A. L. Goldberg, and T. Maniatis. (1994) Cell 78, 773-785
- 10. Traenckner, E. B.-M., S. Wilk, and P. A. Baeuerle. (1994) EMBO J. 13, 5433-5441.
- Finco, T. S., A. A. Beg, and A. S. Baldwin. (1994) Proc. Natl. Acad. Sci. U.S.A. 91, 11884-11888.
- 12. Alkalay, I. A. Yaron, A. Hatzubai, S. Jung, A. Avraham, O. Gerlitz, I. Pashut-Lavon, and Y. Ben-Neriah. (1995) Mol. Cell. Biol. 15, 1294-1301.
- 13. DiDonato, J. A., F. Mercurio, and M. Karin. (1995) Mol. Cell. Biol. 15, 1302-1311.
- 14. Lin, Y.-C., K. Brown, and U. Siebenlist. (1995) Proc. Natl. Acad. Sci. U.S.A. 92, 552-556.

- 15. O'Connor, P. M., J. Jackman, D. Jondle, K. Bhatia, I. Magrath, and K. W. Kohn. (1993) Cancer Res. 53, 4776-4780.
- Li, C.-C. H., F. W. Ruscetti, N. R. Rice, E. Chen, N.-S. Yang, J. Mikovits, and D. L. Longo. (1993) J. Virol. 67, 4205-4213.
- 17. Li, C.-C. H., M. Korner, D. K. Ferris, E. Chen, R.-M. Dai, and D. L. Longo. (1994) Biochem. J. 303, 499-506.
- Li, C.-C. H., R.-M. Dai, E. Chen, and D. L. Longo. (1994) J. Biol. Chem. 269, 30089-30092.
- 19. Haskill, S., A. A. Beg, S. M. Tompkins, J. S. Morris, A. D. Yurochko, A. Sampson-Johannes, K. Mondal, P. Ralph, and A. S. Baldwin Jr. (1991) Cell 65, 1281-1289.
- 20. Brown, K., S. Gerstberger, L. Carlson, G. Franzoso, and U. Siebenlist. (1995) Science 267, 1485-1488.
- 21. Fan, C.-M. and Maniatis, T. (1991) Nature 354, 395-398.
- 22. Barbacid, M., J. R. Stephenson, and S. A. Aaronson. (1976) J. Biol. Chem. 251, 4859-4866
- 23. Hegde, A. N., Goldberg, A. L. and Schwartz, J. H. (1993) Proc. Natl. Acad. Aci. USA 90, 7436-7440.
- 24. Ciechanover, A. (1994) Cell 79, 13-21.
- 25. Nishizawa, M., N. Furuno, K. Okazaki, H. Tanaka, Y. Ogawa, and N. Sagata. (1993) EMBO J. 12, 4021-4027.
- Macfie, H. L., C. L. Colvin, and P. O. Anderson. (1981) Drug Intel. Clin. Pharm. 15, 94-98.
- 27. Naumann, M., and C. Scheidereit. (1994) EMBO J. 13, 4597-4607.
- 28. Bryan, R. G., Y. Li, J. H. Lai, M. Van, N. R. Rice, R. R. Rich, and T. H. Tan. (1994) Mol. Cell. Biol. 14, 7933-7942.
- 29. Gillespie, S. K. H., and S. A. Wasserman. (1994) Mol. Cell. Biol. 14, 3559-3568.
- 30. Chen, Z., Hagler, J., Palombella, V. J., Melandri, F., Scherer, D., Ballard, D. and Maniatis, T. (1995) Genes & Develop. 9, 1586-1597.
- 31. Brockman, J. A., Scherer, D. C., McKinsey, T. A., Hall, S. M., Qi, Z., Lee, W. Y. and Ballard, D. (1995) Mol. Cell. Biol. 15, 2809-2818.
- 32. White, D. W., A. Roy, and T. D. Gilmore. (1995) Oncogene 10, 857-868.
- 33. Jaffray, E., K. M. Wood, and R. T. Hay. (1995) Mol. Cell. Biol. 15, 2166-2172.
- 34. Ernst, M. K., L. L. Dunn, and N. R. Rice. (1995) Mol. Cell. Biol. 15, 872-882.

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
☐ OTHER:

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.